PADONA 2018

Department of Health Update

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Facility and Survey Data 2017

Facilities

- 699 Facilities
- 88,003 Beds

Surveys Completed

- 5,262 Total surveys
- 761 Re-licensure/recertification surveys (Full Health Surveys)
- 1,679 Revisits (to all types of surveys)
- 4,245 On-site visits (includes complaint visits)

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Facility and Survey Data 2016

Facilities

- 704 Facilities
- 88,184 Beds

Surveys Completed

- 5,320 Total surveys
- 712 Re-licensure/recertification surveys (Full Health Surveys)
- 1,706 Revisits (to all types of surveys)
- 4,239 On-site visits (includes complaint visits)

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Facility and Survey Data 2015

Facilities

- 702 Facilities
- 88,233 Beds

Surveys Completed

- 4,277 Total surveys
- 711 Re-licensure/recertification surveys (Full Health Surveys)
- 1,316 Revisits (to all types of surveys)
- 3,327 On-site visits (includes complaint visits)

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Statewide Deficiency Free Surveys

2017: 43 Full Health Surveys were deficiency free

2016: 38 Full Health Surveys were deficiency free

2016: 38 Full Health Surveys were deficiency free

2015: 53 Full Health Surveys were deficiency free

2014: 68 Full Health Surveys were deficiency free

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Surveys with Scope & Severity D & Above

	2017	2016	2015	2014
Standard Surveys	706	665	650	629
Complaint Surveys	984	996	703	455
Substandard Quality of Care	1	6	3	1
Immediate Jeopardy Tags	30	39	12	11

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Frequency of DNCF Visits 2017

Number of Visits	% of PA facilities
20 +	1.72%
10 to 19	17.74%
6 to 9	25.04%
2 to 5	*46.78%*
1	8.30%
0	0.43%

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Frequency of DNCF Visits 2016

Number of Visits	% of PA facilities
20 +	0.85%
10 to 19	17.90%
6 to 9	25.43%
2 to 5	*45.31%*
1	9.80%
0	0.71%

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Frequency of DNCF Visits 2015

Number of Visits	% of PA facilities
20 +	1.00%
10 to 19	8.83%
6 to 9	21.51%
2 to 5	*53.85%*
1	14.10%

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Provisional Licenses Issued

2017 – 35

2016 – 39

2015 – 19

2014 – 9

2013 – 2

2012 – 2

2011 - 18

2010 – 10

2009 – 29

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State Actions

Total state actions for 2017	Total state actions for 2016	Total state actions for 2015	Total state actions for 2014
PI/CMP= 2	PI/CMP= 3	PI/CMP= 6	PI/CMP= 1
PII/CMP= 3	PII/CMP= 0	PII/CMP= 2	PII/CMP= 2
PIII/CMP= 1	PIII/CMP= 0	PIII/CMP= 0	PIII/CMP= 0
PIV/CMP = 0	PIV/CMP = 0	PIV/CMP = 0	PIV/CMP = 0
PI only= 14	PI only= 32	PI only= 7	PI only= 4
PII only= 11	PII only= 4	PII only= 2	PII only= 2
PIII only = 4	PIII only = 0	PIII only = 1	PIII only = 0
PIV only= 0	PIV only= 0	PIV only= 1	PIV only= 0
CMP only= 95	CMP only= 53	CMP only= 24	CMP only= 8
Total = 130	Total = 92	Total = 43	Total = 17

PI=Provisional I license
PII=Provisional II license
PIII=Provisional III license

PIV = Provisional IV license
CMP=Civil Monetary Penalty

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2017 Complaint Data

Complaint Data

- Total received= 3,887
- Total substantiated= 1,425 (36.61%)
- Onsite investigations conducted= 2,889 (94.53%)
- Substantiated complaints with citations issued at “G” or above= 128 (3.29%)

Most Frequently Filed

- Care or Services 65.66%
- Resident Rights 15.61%
- Environment 11.08%

Complaint Tags

- Total tags cited related to complaints = 1,352
- Highest S/S cited during complaint surveys = L

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2016 Complaint Data

Complaint Data

- Total received = 3,485
- Total substantiated = 1,208 (34.66%)
- Onsite investigations conducted = 3,174 (91.08%)
- Substantiated complaints with citations issued at “G” or above = 139 (3.99%)

Most Frequently Filed

- Care or Services 64.45%
- Resident Rights 17.18%
- Environment 11.31%

Complaint Tags

- Total tags cited related to complaints = 685
- Highest S/S cited during complaint surveys = L

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2015 Complaint Data

Complaint Data

- Total received = 2,591
- Total substantiated = 863 (33.31%)
- Onsite investigations conducted = 2,330 (89.93%)
- Substantiated complaints with citations issued at “G” or above = 73 (2.82%)

Most Frequently Filed

- Care or Services 66.77%
- Resident Rights 14.73%
- Environment 9.32%

Complaint Tags

- Total tags cited related to complaints = 642
- Highest S/S cited during complaint surveys = L

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Frequently Cited Tags

Listed below are the top 5 most frequently cited tags in order from most cited.

2017	2016	2015	2014	2013
F309	F309	F309	F309	F309
F323	F323	F441	F441	F441
F441	F441	F514	F514	F323
F514	F514	F323	F323	F514
F371	F371	F371	F371	F371

0309 =PROVIDE CARE/SERVICES FOR HIGHEST WELL BEING

0441 =INFECTION CONTROL, PREVENT SPREAD, LINENS

0514 =RES RECORDS-COMPLETE/ACCURATE/ACCESSIBLE

0323 =FREE OF ACCIDENT HAZARDS/SUPERVISION/DEVICES

0371 =FOOD PROCURE, STORE/PREPARE/SERVE - SANITARY

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2017 Incidents

- Total number of incident reports received: 26,279

- Most Frequently reported events
  - Transfer to Hospital – 10,781
  - Abuse – 4,303
  - Other – 4,208

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2016 Incidents

- Total number of incident reports received: 23,398

- Most Frequently reported events
  - Transfer to Hospital – 10,489
  - Abuse – 3,647
  - Other – 3,154

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2015 Incidents

- Total number of incident reports received: 21,788

- Most Frequently reported events
  - Transfer to Hospital – 10,432
  - Abuse – 2,941
  - Other – 2,547

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IDR

2013
69 Tags disputed
19% deleted (13)
7% revised (5)
72% upheld (50)
0% withdrawn (0)

2014
60 Tags disputed
15% deleted (9)
20% revised (12)
63% upheld (38)
2% withdrawn (1)

2015
131 Tags disputed
25% deleted (33)
11% revised (15)
63% upheld (82)
1% withdrawn (1)

2016 (1/1/16-10/31/16)
172 Tags disputed
27% deleted (47)
11% revised (18)
60% upheld (104)
2% withdrawn (3)

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State IIDR

2013
14 tags disputed
0% deleted (0)
7% revised (1)
86% upheld (12)
7% withdrawn (1)

2014
24 tags disputed
25% deleted (6)
0% revised (0)
75% upheld (18)
0% withdrawn (0)

2015
30 tags disputed
20% deleted (6)
10% revised (3)
70% upheld (21)
0% withdrawn (0)

2016
42 tags disputed
17% deleted (7)
7% revised (3)
69% upheld (29)
7% withdrawn (3)

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Federal IIDR

2013
10 tags disputed
100% upheld (4)

2014
1 tags disputed
100% upheld (1)

2015
2 tags disputed
100% upheld (2)

2016
0 tags disputed

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Additional Updates

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Resources

- DNCF 717-787-1816
- DSI 717-787-1911
- Message Board
  - www.health.state.pa.us
- CMS Website
  - www.cms.hhs.gov
- State Operations Manual
  - http://cms.hhs.gov/manuals/Downloads/som107ap_pp_guidelines_ltcf.pdf

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