

PADONA 2015

# Department of Health Update

Presented by:

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Division of Nursing Care Facilities

# Facility and Survey Data 2014

## Facilities

- ▀ 703 Facilities
- ▀ 88,090 Beds

## Surveys Completed

- ▀ 3,716 Total surveys
- ▀ 709 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 1,109 Revisits (to all types of surveys)
- ▀ 2,810 On-site visits (includes complaint visits)

# Facility and Survey Data 2013

## Facilities

- ▀ 706 Facilities
- ▀ 88,278 Beds

## Surveys Completed

- ▀ 3,709 Total surveys
- ▀ 732 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 1,076 Revisits (to all types of surveys)
- ▀ 2,852 On-site visits (includes complaint visits)

# Statewide Deficiency Free Surveys

## 2012

- 89 Full Health Surveys were deficiency free

## 2013

- 74 Full Health Surveys were deficiency free

## 2014

- 68 Full Health Surveys were deficiency free

# Surveys with Scope & Severity D & Above

|                             | <u>2012</u> | <u>2013</u> | <u>2014</u> |
|-----------------------------|-------------|-------------|-------------|
| Standard Surveys            | 598         | 651         | 629         |
| Complaint Surveys           | 355         | 390         | 455         |
| Substandard Quality of Care | 0           | 6           | 1           |

# Frequency of DNCF Visits (Calendar Year 2014)

| <b>Number of Visits</b> | <b>% of PA facilities</b> |
|-------------------------|---------------------------|
| 20 +                    | 0.28%                     |
| 10 to 19                | 5.12%                     |
| 6 to 9                  | 18.63%                    |
| <i>2 to 5</i>           | <i>56.19%</i>             |
| 1                       | 18.92%                    |

# Frequency of DNCF Visits

(Calendar Year 2013)

| <b>Number of Visits</b> | <b>% of PA facilities</b> |
|-------------------------|---------------------------|
| 20 +                    | 0.28%                     |
| 10 to 19                | 5.37%                     |
| 6 to 9                  | 17.82%                    |
| <i>2 to 5</i>           | <i>57.99%</i>             |
| 1                       | 18.53%                    |

# Provisional Licenses Issued

- 2008 – 22
- 2009 – 29
- 2010 – 10
- 2011 – 18
- 2012 – 2
- 2013 – 2
- 2014 – 9



# State Actions

## Total state actions for 2012

PI/CMP= 0  
PII/CMP= 0  
PIII/CMP= 0  
PI only= 2  
PII only= 0  
PIV only= 0  
CMP only= 0

PI=Provisional I license  
PII=Provisional II license  
PIII=Provisional III license  
CMP=Civil Monetary Penalty

## Total state actions for 2013

PI/CMP= 1  
PII/CMP= 0  
PIII/CMP= 0  
PI only= 1  
PII only= 0  
PIV only= 0  
CMP only= 11

## Total state actions for 2014

PI/CMP = 1  
PII/CMP = 2  
PIII/CMP= 0  
PI only= 4  
PII only= 2  
PIV only= 0  
CMP only= 8

# 2014 Incidents

- Total number of incident reports received:  
**19,408**
- Most Frequently reported events
  - ▣ Transfer to Hospital – **9,821**
  - ▣ Abuse – **2,375**
  - ▣ Other – **2,184**

# 2013 Incidents

- Total number of incident reports received:  
**19,198**
- Most Frequently reported events
  - ▣ Transfer to Hospital – **9,998**
  - ▣ Abuse – **2,228**
  - ▣ Other – **1,944**

# 2014 Complaint Data

## Complaint Data

- Total received= 1,930
- Total substantiated= 483 (25.03%)
- Onsite investigations conducted= 1,725 (89.38%)
- Substantiated complaints with citations issued at "G" or above= 29 (1.50%)

## Most Frequently Filed

- Care or Services 65.99%
- Resident Rights 16.98%
- Environment 8.79%

# 2013 Complaint Data

## Complaint Data

- Total received=1,854
- Total substantiated= 445  
(24%)
- Onsite investigations  
conducted= 93%
- Substantiated complaints with  
citations issued at "G" or  
above= 1.8%

## Most Frequently Filed

- Care or Services 65.6%
- Resident Rights 17.1%
- Environment 10.5%

## IDRs

### 2013

69 Tags disputed

**19% deleted (13)**

**7% revised (5)**

**72% upheld (50)**

### 2012

55 Tags disputed

**31% deleted (17)**

**20% revised (11)**

**49% upheld (27)**

### 2011

115 Tags disputed

**22% deleted (25)**

**9% revised (10)**

**69% upheld (80)**

### 2014

60 Tags disputed

**15 % deleted (9)**

**20 % revised (12)**

**63.33 % upheld (38)**

**1.67 % withdrawn (1)**

# State IIDR

## 2012

5 tags disputed

**20% deleted** (1)

**80% upheld** (4)

## 2013

14 tags disputed

**7% revised** (1)

**86% upheld** (12)

**7% withdrawn** (1)

## 2014

24 tags disputed

**25 % deleted** (6)

**75% upheld** (18)

# Federal IIDR

2013

10 tags disputed

**100% upheld** (10)

2014

1 tag disputed

100% upheld (1)



# ▶ Additional Updates

# Frequently Cited Tags

Listed below are the top 5 most frequently cited tags in order from most cited.

2013

F309

F441

F323

F514

F371

2014

F309

F441

F514

F323

F371

# Resources

- DNCF 717-787-1816
- DSI 717-787-1911
- Message Board
  - ▣ [www.health.state.pa.us](http://www.health.state.pa.us)
- CMS Website
  - ▣ [www.cms.hhs.gov](http://www.cms.hhs.gov)
- State Operations Manual
  - ▣ [http://cms.hhs.gov/manuals/Downloads/som107ap\\_pp\\_guidelines\\_ltcf.pdf](http://cms.hhs.gov/manuals/Downloads/som107ap_pp_guidelines_ltcf.pdf)